

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Seal

Applicant: Ty J. Caswell et al.

Title: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH
CALLER ID

Docket No.: 400.081US2
Filed: September 20, 1999
Examiner: Unknown



Serial No.: 09/400,607
Due Date: December 12, 1999
Group Art Unit: Unknown

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$458.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Form PTO-1533 (1pg.)
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A return postcard.

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described above, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on this 7 day of December, 1999.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Timothy E. Bianchi
Name: Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

S/N 09/400,607



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ty J. Caswell et al.	Examiner:	Unknown
Serial No.:	09/400,607	Group Art Unit:	Unknown
Filed:	September 20, 1999	Docket:	400.081US2
Title:	COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID		

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$458.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6912.

Respectfully submitted,

TY J. CASWELL ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6912

Date Dec. 7, 1999 By Timothy E. Bianchi
Timothy E. Bianchi
Reg. No. 39,610
TEB:CMG:ajm

I hereby certify that this correspondence is being
deposited with the United States Postal Service as first
class mail in an envelope addressed to Assistant
Commissioner for Patents, Washington, D.C. 20231,
on Dec. 7, 1999.
(Date of Deposit)

Timothy E. Bianchi
Timothy E. Bianchi



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/400,607	09/20/99	CASWELL	T 400.081US2

021186 02327101
SCHWEGMAN LUNDBERG WOESSNER & KLUTH
P O BOX 2938
MINNEAPOLIS MN 55402

NOT ASSIGNED

2732

DATE MAILED:

10/12/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 475.

- ☐ 1. The statutory basic filing fee is:
- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 580 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37.CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center.
Initial Patent Examination Division (703) 308-1202

Best Available Copy

DATE	AMOUNT	DESCRIPTION	BALANCE
12/20/99	100.00	DEPOSIT	100.00
12/21/99	50.00	DEPOSIT	150.00
12/22/99	25.00	DEPOSIT	175.00
12/23/99	75.00	DEPOSIT	250.00
12/24/99	100.00	DEPOSIT	350.00
12/25/99	50.00	DEPOSIT	400.00
12/26/99	25.00	DEPOSIT	425.00
12/27/99	75.00	DEPOSIT	500.00
12/28/99	100.00	DEPOSIT	600.00
12/29/99	50.00	DEPOSIT	650.00
12/30/99	25.00	DEPOSIT	675.00
12/31/99	75.00	DEPOSIT	750.00
1/1/00	100.00	DEPOSIT	850.00
1/2/00	50.00	DEPOSIT	900.00
1/3/00	25.00	DEPOSIT	925.00
1/4/00	75.00	DEPOSIT	1000.00
1/5/00	100.00	DEPOSIT	1100.00
1/6/00	50.00	DEPOSIT	1150.00
1/7/00	25.00	DEPOSIT	1175.00
1/8/00	75.00	DEPOSIT	1250.00
1/9/00	100.00	DEPOSIT	1350.00
1/10/00	50.00	DEPOSIT	1400.00
1/11/00	25.00	DEPOSIT	1425.00
1/12/00	75.00	DEPOSIT	1500.00
1/13/00	100.00	DEPOSIT	1600.00
1/14/00	50.00	DEPOSIT	1650.00
1/15/00	25.00	DEPOSIT	1675.00
1/16/00	75.00	DEPOSIT	1750.00
1/17/00	100.00	DEPOSIT	1850.00
1/18/00	50.00	DEPOSIT	1900.00
1/19/00	25.00	DEPOSIT	1925.00
1/20/00	75.00	DEPOSIT	2000.00
1/21/00	100.00	DEPOSIT	2100.00
1/22/00	50.00	DEPOSIT	2150.00
1/23/00	25.00	DEPOSIT	2175.00
1/24/00	75.00	DEPOSIT	2250.00
1/25/00	100.00	DEPOSIT	2350.00
1/26/00	50.00	DEPOSIT	2400.00
1/27/00	25.00	DEPOSIT	2425.00
1/28/00	75.00	DEPOSIT	2500.00
1/29/00	100.00	DEPOSIT	2600.00
1/30/00	50.00	DEPOSIT	2650.00
1/31/00	25.00	DEPOSIT	2675.00
2/1/00	75.00	DEPOSIT	2750.00
2/2/00	100.00	DEPOSIT	2850.00
2/3/00	50.00	DEPOSIT	2900.00
2/4/00	25.00	DEPOSIT	2925.00
2/5/00	75.00	DEPOSIT	3000.00
2/6/00	100.00	DEPOSIT	3100.00
2/7/00	50.00	DEPOSIT	3150.00
2/8/00	25.00	DEPOSIT	3175.00
2/9/00	75.00	DEPOSIT	3250.00
2/10/00	100.00	DEPOSIT	3350.00
2/11/00	50.00	DEPOSIT	3400.00
2/12/00	25.00	DEPOSIT	3425.00
2/13/00	75.00	DEPOSIT	3500.00
2/14/00	100.00	DEPOSIT	3600.00
2/15/00	50.00	DEPOSIT	3650.00
2/16/00	25.00	DEPOSIT	3675.00
2/17/00	75.00	DEPOSIT	3750.00
2/18/00	100.00	DEPOSIT	3850.00
2/19/00	50.00	DEPOSIT	3900.00
2/20/00	25.00	DEPOSIT	3925.00
2/21/00	75.00	DEPOSIT	4000.00
2/22/00	100.00	DEPOSIT	4100.00
2/23/00	50.00	DEPOSIT	4150.00
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2/25/00	75.00	DEPOSIT	4250.00
2/26/00	100.00	DEPOSIT	4350.00
2/27/00	50.00	DEPOSIT	4400.00
2/28/00	25.00	DEPOSIT	4425.00
2/29/00	75.00	DEPOSIT	4500.00
2/30/00	100.00	DEPOSIT	4600.00
3/1/00	50.00	DEPOSIT	4650.00
3/2/00	25.00	DEPOSIT	4675.00
3/3/00	75.00	DEPOSIT	4750.00
3/4/00	100.00	DEPOSIT	4850.00
3/5/00	50.00	DEPOSIT	4900.00</